

致 : 昌利證券有限公司 (交收部)  
To : Cheong Lee Securities Ltd (Settlement Department)

日期 :  
Date :

電話號碼 Tel No. : 3426-6301 傳真號碼 Fax No. : 3426-2674

### 投資者/證券交收指示 **Investor / Securities Settlement Instruction (I.S.I./S.I) Form**

客戶號碼 Account Number : \_\_\_\_\_ 客戶名稱 Account Name : \_\_\_\_\_

- 請 : 將下項證券接收到本人/吾等上述證券賬戶  
Please  **receive** the following securities for my / our above securities account
- 由本人/吾等上述證券賬戶交付下項證券  
 **deliver** the following securities from my / our above securities account

並將下列交收指示輸入中央結算交收系統 (B01816)以便進行交收 :  
and input the following Settlement Instruction (SI) into the Central Clearing and Settlement System CCASS to (B01816)  
effect settlement with details as follows:

對手名稱 Name of Counterparty : \_\_\_\_\_ 交收日期 Settlement Date : \_\_\_\_\_

對手經記/投資者中央結算號碼 Counterparty/investor CCASS I.D No. : \_\_\_\_\_ 聯絡電話 Tel. No. : \_\_\_\_\_

股票編號 Stock Code	股票名稱 Name of Stock	股份數量 No. of Shares	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款交收 Free of Payment (FOP) <input type="checkbox"/> 貨銀對付交收 Delivery Against Payment (DVP)
			<input type="checkbox"/> 無須付款交收 Free of Payment (FOP) <input type="checkbox"/> 貨銀對付交收 Delivery Against Payment (DVP)
			<input type="checkbox"/> 無須付款交收 Free of Payment (FOP) <input type="checkbox"/> 貨銀對付交收 Delivery Against Payment (DVP)

\_\_\_\_\_  
客人簽署 Client's Authorized Signature(s)

<b>此欄由本公司填寫 For office use only</b>					
Signature Verified by	: _____	Input by	: _____	Check by Settlement	: _____
Approved by	: _____	Check & Approved by RO.	: _____		

- 附註 Remarks :
- 請於合適方格內 ✓  
Please ✓ the appropriate box.
  - 如欲即日完成交收程序, 務必於當日上午十一時前填妥此文件交付或傳真至交收部  
Instructions for same day settlement have to be reached our Settlement Department before 11:00a.m. on the settlement day