

# 昌利證券有限公司

## Cheong Lee Securities Limited

### Document Check List

A/C Open Date : \_\_\_\_\_

 現金 Cash     孖展 Margin     個人 Individual     聯名 Joint A/C

A/C Name : \_\_\_\_\_

A/C No. \_\_\_\_\_

1.  開戶文件  
Account Opening Documents
2.  個人之身份證及護照副本  
Copy of ID card and passport
3.  郵寄地址證明 (最近三個月內)  
Mailing address proof (within the past 3 months)
4.  已取得僱主書面同意 (如適用)  
Employer consent letter obtained (if applicable)
5.  衍生工具投資的認識:  
Derivatives investment knowledge: \_\_\_\_\_
6.  非面對面開戶程序 (如適用)  
由客戶本人發出的不少於港幣一萬元銀行支票(抬頭支付"昌利證券有限公司", 本公司不接受第三者發出的支票)  
Non face to face account opening procedure (if applicable)  
The cheque in the amount of HK\$10,000 which is payable to "Cheong Lee Securities Limited" issued by the applicant.  
Cheque issued by third party (ies) will not be accepted.
7.  投資者識別碼制度下索取更新客戶識別信息的同意書  
IDR Consent Letter
8.  W-8BEN
9.  防止洗黑錢及防止恐怖分子籌資活動問卷  
AML Questionnaire
10.  其他  
Other \_\_\_\_\_

### For Internal Use Only

Submitted by :

Checked by :

Approved by :

Input by :

\_\_\_\_\_  
A.E  
Date\_\_\_\_\_  
Settlement Dept  
Date\_\_\_\_\_  
Responsible Officer  
Date\_\_\_\_\_  
Settlement Dept  
Date

Address : Room 16B, 16/F., Bank of East Asia Harbour View Centre, 56 Gloucester Road, Wanchai, Hong Kong

地址: 香港灣仔告士打道 56 號東亞銀行港灣中心 16 樓 16B 室

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